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(Only for new nonprovisional applications under 37 CFR 1.53(b))

First Named Inventor or Application Identifier

Chung

Express Mail Label No. EL 451 596 455 US

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>16</u>] <i>(preferred arrangement set forth below)</i></p> <p style="margin-left: 20px;">-Descriptive title of the invention</p> <p style="margin-left: 20px;">-Cross Reference to Related Applications</p> <p style="margin-left: 20px;">-Statement Regarding Fed sponsored R&D</p> <p style="margin-left: 20px;">-Reference to Microfiche Appendix</p> <p style="margin-left: 20px;">-Background of the invention</p> <p style="margin-left: 20px;">-Brief Summary of the invention</p> <p style="margin-left: 20px;">-Brief Description of the Drawings <i>(if filed)</i></p> <p style="margin-left: 20px;">-Detailed Description of the invention (including drawings, <i>if filed</i>)</p> <p style="margin-left: 20px;">-Claim(s)</p> <p style="margin-left: 20px;">-Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i> [Total Sheets <u>6</u>]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Sheets <u>3</u>]</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>(Note Box 5 below)</i></p> <p style="margin-left: 40px;">i. <input type="checkbox"/> <u>DELETION OF INVENTORS(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i></p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Copy</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|---|--|

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☒ Applicant claims Small Entity Status, see 37 C.F.R. 1.27

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other:

ACCOMPANYING APPLICATION PARTS

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14. ☒ Applicant claims Small Entity Status, see 37 C.F.R.
1.27
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed .

18. CORRESPONDENCE ADDRESS

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j1047 U.S. PTO



03/26/01

PENNIE & EDMONDS LLP

COUNSELLORS AT LAW

1155 Avenue of the Americas

New York, N.Y. 10036-2711

(212) 790-9090

ATTORNEY DOCKET NO. 9842-254-999Date: March 26, 2001

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): *Chung et al.*

Executed on: unexecuted

Title of Invention: INCLUSION OF NITROGEN AT THE SILICON DIOXIDE-SILICON CARBIDE INTERFACE FOR
 PASSIVATION OF INTERFACE DEFECTS

PATENT APPLICATION FEE VALUE

| TYPE | NO. FILED | LESS | EXTRA | EXTRA RATE | FEE |
|--|-----------|------|-------|--------------|-------------|
| Total Claims | 26 | -20 | 6 | \$18.00 each | \$ 108.00 |
| Independent | 2 | -3 | 0 | \$80.00 each | \$ 0.00 |
| Minimum Fee | | | | | \$ 710.00 |
| Multiple Dependency Fee If Applicable (\$270.00) | | | | | \$ 0.00 |
| Total | | | | | \$ 818.00 |
| 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached) | | | | | - \$ 409.00 |
| Total Filing Fee | | | | | \$ 409.00 |

- ☒ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 60,192,009 filed on March 24, 2000 in is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Sunil K. Singh

PENNIE & EDMONDS LLP

for Gary S. Williams (Reg. No. 31,066)

45,298

(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.